

Docket No. 4990-107 US

The undersigned certifies that this communication is being deposited with the United States Postal Service as prepaid first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 26, 2007.

Diane Dunn McKay, Esq.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of GREEN et al.

Customer No. 26817

Serial No. 10/553,253

Group Art Unit: 2872

Filed: June 30, 2006

Examiner: TBD

Title: OPTICAL CONCENTRATOR

Confirmation No. 1798

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.56, Applicant makes the documents listed on the enclosed Form 1449, of record in the above-identified application. Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant hereby brings to the attention of the United States Patent and Trademark Office the following documents of which Applicant has been made aware. The documents are listed on the attached PTO Form 1449.

Copies of the U.S. patents and U.S. patent application publications listed on the attached form 1449 are not enclosed, in accordance with the waiver of the requirement of 37 C.F.R. § 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and U.S. patents application publication for all U.S. national patent applications filed after June 30, 2003 that appears in the Official Gazette Notice (Week No. 31) August 5, 2003 and entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and

Docket No. 4990-107 US Serial No. 10/553,253

Published Applications in Patent Applications Filed After June 30, 2003." Copies of foreign

patent publications are enclosed herewith.

It is respectfully requested that these documents be fully considered by the United States Patent and Trademark Office in the examination of the above-identified patent

application.

Pursuant to 37 C.F.R. § 1.97(d)(2) Applicant submits herewith the requisite fee of

\$180 as set forth in 37 C.F.R. § 1.17(p).

Applicant submits that this Information Disclosure Statement is submitted prior to a

first action on the merits.

It is requested that the references be considered by the Examiner and be made of

record as part of the available prior art under 37 C.F.R. § 1.104.

Respectfully submitted,

Dated: April 26, 2007

Reg. No. 34,586

Attorney for Applicant

MATHEWS, SHEPHERD, McKAY & BRUNEAU, P.A.

29 Thanet Road, Suite 201

Princeton, NJ 08540 Tel:

609 924 8555

Fax:

609 924 3036

2

PTO/SB/08a (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO 10/553,253 **Application Number** INFORMATION DISCLOSURES June 30, 2006 Filing Date STATEMENT BY APPLICANT First Named Inventor Green et al. Art Unit 2872 (Use as many sheets as neces APR) 3 0 2007 Examiner Name TBD

Attorney Docket Number

4990-107 US

Sheet

of

(3)

U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US-						
		US-		-				
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-			1			
		US-			1			
		US-	<del></del>					
	Ì	US-		l	,			

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant			
		Country Code <sup>3</sup> Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sub>6</sub>		
	1	GB 2 273 976	A	07/06/1994	Dassanayake et al.				
	2	DE 42 03 014	Al	02/03/1992	Siemens AD				
	3	GB 2 375 814	A	11/27/2002	Evans et al.				
							↓		
	<u> </u>			<del></del>	<u> </u>		₩		
	<u> </u>						+-		
	<b></b>	<del> </del>					╁		
<del> </del>	ļ			<del></del>			+-		
							$\vdash$		

Examiner	Date	
Signature	Considered	

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not See Kinds Codes of considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). Japanesé patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.